



## Part A: Preparation and Assessment of Relevance and Priority

Part A is a three step process which will help you to prioritise work and prepare for EqIA.

**Step 1 - Preparation:**  
identify the title of the Policy/function/strategy, the main aims and the key contributors  
(see **Form 1**)



**Step 2 - Gather Evidence:**  
collect, but do not analyse information at this stage - just see what evidence is available  
(see **Form 2**)



**Step 3 - Assessment of Relevance and Priority:**  
determine whether or not the evidence demonstrates high, medium, low, or no relevance and priority across the core dimensions of the equality duties, by each of the equality strands  
(see **Form 3**)

## Form 1: Preparation

Part A must be completed at the beginning of a Policy/function/strategy development or review, and for every such occurrence. (Refer to the Step-by-Step Guide for additional information).

Step 1 - Preparation		
1.	<b>Title of Policy</b> - what are you equality impact assessing?	Protocol to apply for and subsequently use remote access software
2.	<b>Policy Aims and Brief Description</b> - what are its aims? Give a brief description of the Policy (The What, Why and How?)	The Protocol governs the use of remote access software and details how to apply for authorisation to use it.
3.	<b>Who Owns/Defines the Policy?</b> - who is responsible for the Policy/work?	Executive Lead is Paul Hollard, Executive Director of Planning
4.	<b>Who is Involved in undertaking this EqIA?</b> - who are the key contributors to the EqIA and what are their roles in the process?	Nic Drew, Data Protection Manager

**Step 1 - Preparation**

5.	<b>Other Policies</b> - Describe where this Policy/work fits in a wider context. Is it related to any other policies/activities that could be included in this EqIA?	Those using this Protocol are required to comply with the UHB's IT Security and Data Protection Policies.
6.	<b>Stakeholders</b> - Who is involved with or affected by this Policy?	Those given authorisation to use remote access software are involved; potentially all staff using computers are affected by the policy, but following their request for assistance with a computer issue.
7.	<b>What factors may contribute to the outcomes of the Policy? What factors may detract from the outcomes?</b> These could be internal or external factors.	None

## Form 2: Evidence Gathering

Equality Strand	Evidence Gathered	Does the evidence apply to the following with regard to this Policy/work? Tick as appropriate.									
Race	No affect	Eliminating Discrimination and Eliminating Harassment	X	Promoting Equality of Opportunity	X	Promoting Good Relations and Positive Attitudes	X	Encouraging participation in Public Life	X	Take account of difference even if it involves treating some individuals more favourably*	✓
Disability	No affect		X		X		X		X		✓
Gender	No affect		X		X		X		X		✓
Sexual Orientation	No affect		X		X		X		X		✓
Age	No affect		X		X		X		X		✓
Religion or Belief	No affect		X		X		X		X		✓
Welsh Language	No requirement to translate.		X		X		X		X		✓
<p><b>People have a human right to: life; not to be tortured or treated in a degrading way; to be free from slavery or forced labour; to liberty; to a fair trial; not to be punished without legal authority; to respect for private and family life, home and correspondence; to freedom of thought, conscience and religion; to freedom of expression and of assembly; to marry and found a family and to not be discriminated against in relation to any of the rights contained in the European Convention.</b></p>											
<b>Human Rights</b>	<p>Software gives the user the ability to remotely access files/folders on another member of staff's computer. With regard to Article 8, "right to respect for correspondence", the Protocol does not permit access to files/folders marked 'Personal', without the member of staff's permission. The only exception to this is by the IT Security Manager in defined circumstances.</p>										

\* This column relates only to Disability due to the specific requirement in the DDA 2005 to treat disabled people more favourably to achieve equal outcomes. This is not applicable to the other equality strands.

### Form 3: Assessment of Relevance and Priority

Equality Strand	Evidence: Existing evidence to suggest some groups affected. Gathered from Step 2. (See Scoring Chart A)	Potential Impact: Nature, profile, scale, cost, numbers affected, significance. Insert one overall score (See Scoring Chart B)	Decision: Multiply 'evidence' score by 'potential impact' score. (See Scoring Chart C)
Race	1	0	0
Disability	1	0	0
Gender	1	0	0
Sexual Orientation	1	0	0
Age	1	0	0
Religion or Belief	1	0	0
Welsh Language	1	0	0
Human Rights	2	-1	-2

**Scoring Chart A: Evidence Available**

3	Existing data/research
2	Anecdotal/awareness data only
1	No evidence or suggestion

**Scoring Chart B: Potential Impact**

-3	High negative
-2	Medium negative
-1	Low negative
0	No impact
+1	Low positive
+2	Medium positive
+3	High positive

**Scoring Chart C: Impact Decision**

-6 to -9	High Impact (H)
-3 to -5	Medium Impact (M)
-1 to -2	Low Impact (L)
0	No Impact (N)
1 to 9	Positive Impact (P)

## FORM 4: (Part A) Outcome Report

<b>Policy Title:</b>	Protocol to apply for and subsequently use remote access software
<b>Organisation:</b>	Cardiff and Vale UHB
<b>Name:</b> <b>Title:</b> <b>Department:</b>	Nic Drew Data Protection Manager IM&T
<b>Summary of Assessment:</b>	<p>There is an identified risk that those using remote access software could access personal files. The Protocol specifically prohibits this apart from defined use by the IT Security Manager.</p> <p>There are no other issues relating to any other 'Equality Strand'</p>
<b>Decision to Proceed to Part B Equality Impact Assessment:</b>	<p><b>No</b></p> <p><b>Please record reason(s) for decision</b></p> <p>The 'Assessment of Relevance' scores are all 0, apart from Human Rights which has a low impact score of -2</p>

## Action Plan

You are advised to use the template below to detail any actions that are planned following the completion of Part A or Part B of the EqIA Toolkit. You should include any remedial changes that have been made to reduce or eliminate the effects of potential or actual adverse impact, as well as any arrangements to collect data or undertake further research.

	Action(s) proposed or taken	Reasons for action(s)	Who will benefit?	Who is responsible for this action(s)?	Timescale
1. What <b>changes</b> have been made as a result of the EqIA?	None				
2. Where a Policy may have differential impact on certain groups, state what arrangements are in place or are proposed to <b>mitigate</b> these impacts?	N/A				

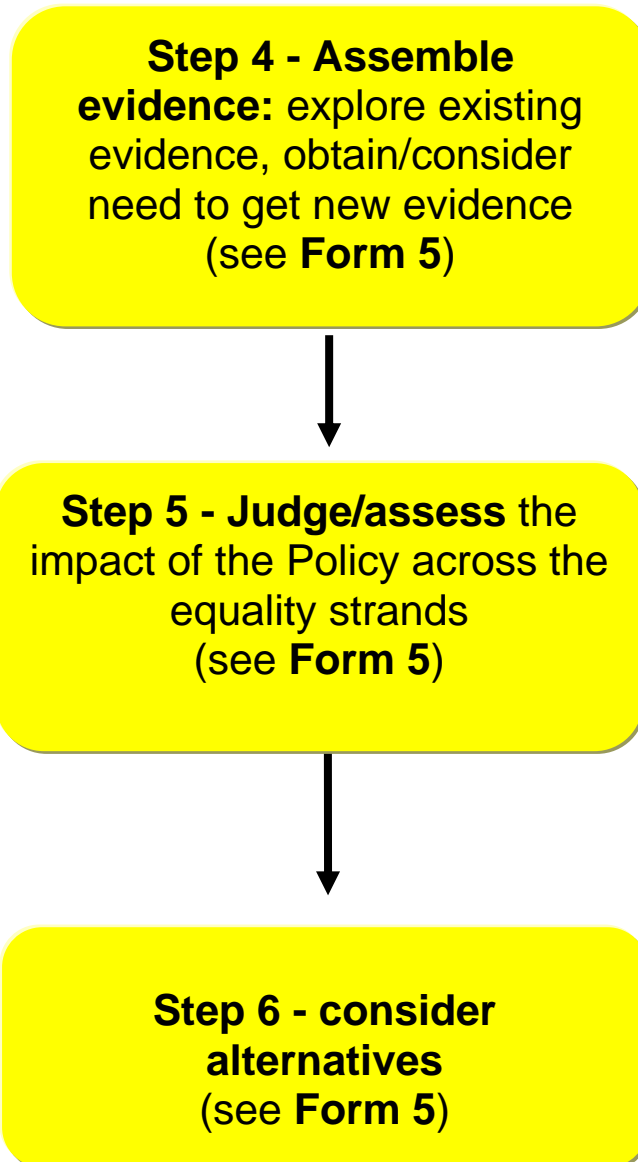
<p>3. <b>Justification:</b> For when a policy may have adverse impact on certain groups, but there is good reason not to mitigate.</p>	N/A				
<p>4. Describe any <b>mitigating actions</b> taken?</p>	N/A				
<p>5. Provide details of any actions planned or taken to <b>promote equality</b>.</p>	N/A				



<b>Date:</b>	26/07/10
<b>Monitoring Arrangements:</b>	Any access without staff permission by the IT Security Manager as part of an investigation is recorded.
<b>Review Date:</b>	January 2012
<b>Signature of all Parties:</b>	

## Part B: Equality Impact Assessment

Part B has three steps:



## Form 5: Equality Impact Assessment

Step 4 - Assemble evidence		
1.	Do you have adequate information? Refer to <b>Form 2</b> (Part A, Step 2: <i>Evidence Gathering</i> ) If not, can the Policy go ahead during this process?	
2.	Does the evidence relate to all strands? (please explain)	
3.	What additional information is required?	
4.	State which representative bodies of relevant groups you will liaise with for support. Is the information representative?	

**Step 5 - Judge/assess the impact of the policy across the equality strands**

Detail below whether you have identified any positive, adverse or differential effect for any of the following strands:

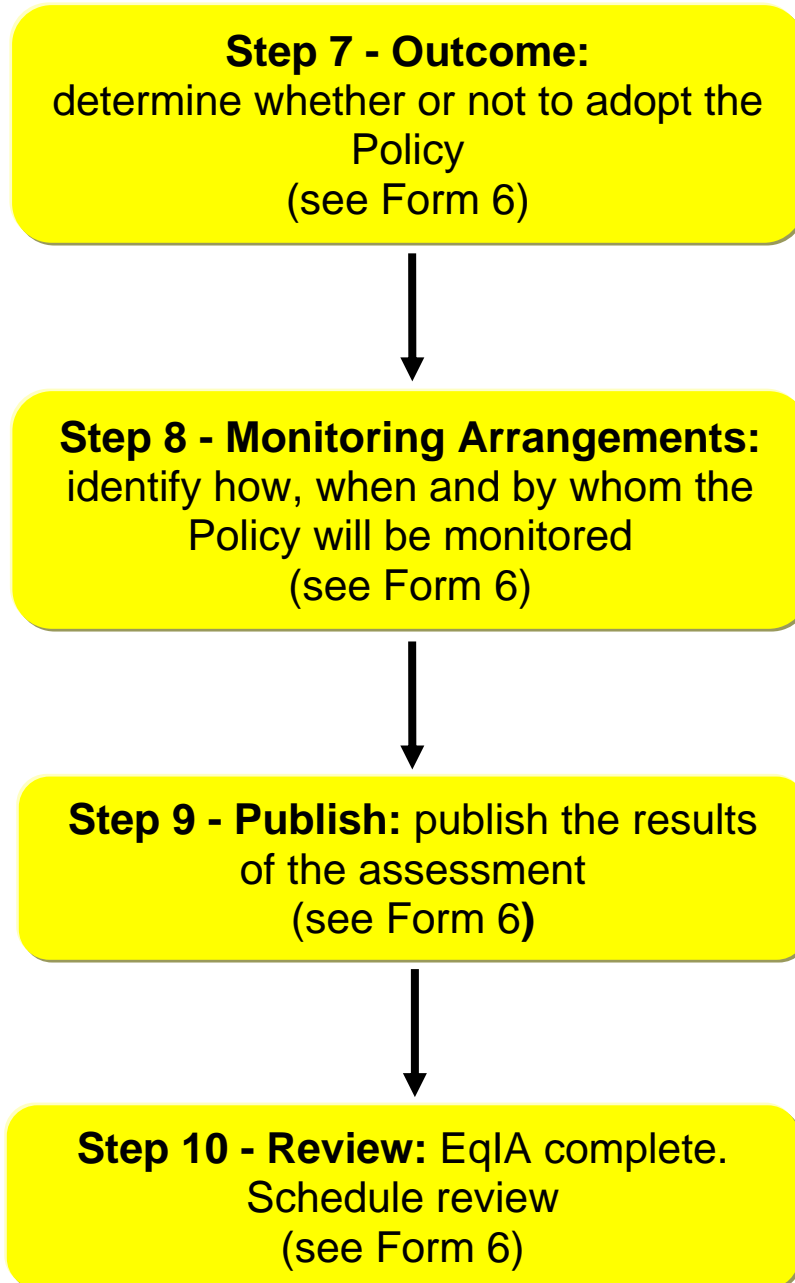
EQUALITY STRAND/GROUP					
		Adverse	Differential	Positive	Comments
Age					
Disability					
Gender					
Race					
Religion or Belief					
Sexual Orientation					
Welsh Language					
Human Rights					

**Step 6 - Consider Alternatives**

6.	Describe any mitigating actions taken to reduce adverse impact.				
7.	Is there a handling strategy for any unavoidable but not unlawful negative impacts that cannot be mitigated?				
8.	Describe actions taken to maximise the opportunity to promote equality i.e. changes to the Policy, regulation, guidance, communication, monitoring or review				
9.	What changes have been made as a result of the equality impact assessment?				

## Part C: Outcome, Monitoring, Publication and Review

Part C is a four step process as follows:



## Form 6: Outcome, Monitoring, Publication and Review

Step 7 - Outcome: determine whether to adopt the policy or not		
1.	Will the policy be adopted?	
2.	If <b>No</b> please give reasons and any alternative action(s) agreed:  (If the policy is <b>not</b> to be adopted please proceed to step 9).	
Step 8 - Monitoring arrangements: identify how, when and by whom the policy will be monitored.		
3.	How will the policy be monitored?	
4.	What monitoring data will be collected?	

5.	How will this data be collected?	
6.	When will the monitoring data be analysed?	
7.	Who will analyse the data?	
<b>Step 9 - Publish the results of the assessment</b>		
8.	What changes have been made?	
9.	Describe any mitigating actions taken Provide details of any actions taken to promote equality	



10.	Describe the arrangements for publishing the EQIA Outcome Report	
<b>Step 10 - Schedule review</b>		
11.	When will the policy be subject to a further review?	