

CRR Reference as at [DATE]	Corporate Risks as at [ Date ]	Current Risk Score as of [Date]:	First Line of Defence Management Controls			Second Line of Defence Oversight functions, e.g. Compliance and quality sub-groups and risk management			Third Line of Defence Internal Audit, External Audit and other regulators and independent assurance providers.			Reviewed Assurance Level
			Operational Processes and Management Reviews	Management information and data.	Other - Self assessment	Compliance / Quality	Health and Safety / Estates	Risk Management	Regulatory Body	Internal Audit/NWSSP Estates	External Audit	
CRR1	Risk of patient harm due to obsolete Oxygen and Nitrous Oxide medical gas Plant and Equipment at various UHB sites	5x4=20	x	x	x	x	x	x		x		
CRR2	Risk of patient harm due to interruption of oxygen supply to the whole of UHW resulting from a corroded oxygen pipeline.	5x4=20	x	x	x	x	x	x		x		
CRR3	Risk of loss of heating throughout UHL due to serious corrosion of Main Boiler F&E Tanks	5x4=20	x	x		x	x	x		x		
CRR4	Risk to staff safety and regulatory compliance due to non-compliance with HTMs for ventilation - multiple locations UHW	5x4=20	x	x	x	x	x	x		x		
CRR5	Risk to estimated expenditure in financial plans due to significant increases in energy tariffs	4x5=20	x	x		x	x	x		x		
CRR6	Risk of staff and patient harm due to difficulties recruiting sufficient numbers of nursing staff.	5x5=25	x	x	x	x		x	x	x		
CRR7	Risk of patient harm due to patients being added to Routine waiting lists secondary to increased Referral to Treatment times.	5x4=20	x	x	x	x		x				
CRR8	Risk of patient harm due to delays to patient treatment and flow following a speciality referral from the Emergency Unit	5x4=20	x	x	x	x		x				
CRR9	Risk of patient harm due to delayed cancer diagnosis secondary to accumulation of therapeutic and surveillance case numbers.	4x5=20	x	x	x	x		x				
CRR10	Risk of patient harm and breaches of Welsh Government waiting time guidance due to delays admitting patients from WAST	5x4=20	x	x	x	x		x	x			
CRR11	Risk of delay in the assessment of patients leading to clinical risk and poor patient experience due to an inability to provide medical cover across the Medicine Clinical Board.	5x4=20	x	x		x		x				
CRR12	Risk of overcrowding in the Emergency and Acute Medicine footprint resulting in an ability to meet key quality standards impacting on patient experience, quality of care and discharge.	5x4=20	x	x	x	x	x	x	x			
CRR13	Risk of harm to compromised fetuses and reduced options for termination of pregnancy due to capacity shortfalls within Fetal Medicine	5x4=20	x	x	x	x		x	x			
CRR14	Risk of patient harm and poor patient experience due to staffing difficulties and shortages within maternity services.	5x4=20	x	x	x	x		x	x			
CRR15	There is a risk to the delivery of modern, safe and sustainable healthcare due to suboptimal estate across the Clinical Board	5x4=20	x	x	x	x		x				
CRR16	Risk to patient safety causing serious incidents due to patients not being admitted to Critical Care Department in a timely manner due to insufficient nursing workforce	5x4=20	x	x		x		x				
CRR17	Risk to patient safety causing serious incidents due to patients not being admitted to Critical Care Department in a timely manner due to insufficient bed capacity.	5x4=20	x	x		x		x				
CRR18	Risk that patients will not receive care in a suitable environment due to a number of shortcomings in Critical Care facilities.	5x4=20	x	x		x		x				
CRR19	Risks to harm to haematology patientx (including bone marrow transplant) due to cross infection hazards created by an inadequate clinical environment.	4x5=20	x	x		x		x				
CRR20	Risk failure to achieve revenue statutory duty breakeven duty and achieve an approved three year IMTP	5x4=20	x	x	x	x		x			x	
CRR21	Risk of failure to achieve an approved Three Year IMTP due to a planned deficit of £17.1 million	5x4=20	x	x	x	x		x			x	
CRR22	Risk of service interruption and potential patient harm due to cyber security threats	5x4=20	x	x		x		x	x	x		

Assurance Level Key:	
No Evidence	Not evidenced on Risk Register/ Not Applicable
Assurance on one line of defence, limited or no third line of defence, assurance over 3 years old.	Low
Assurance across two lines of defence, positive assurance on third line of defence, assurance within last three years.	Medium
Assurance across all three lines of defence, positive assurance on the third line of defence, assurance within last three years.	High

Third Line of Defence Assurance Level Key:	
No Evidence	Not evidenced on Risk Register/ Not Applicable
Limited	
Reasonable	
Substantial	