

AUDIT COMMITTEE
Tuesday, 25 September 2018
9.00am – 11.30am

CORPORATE MEETING ROOM, HQ, UHW
AGENDA

| PART 1 – SECTION 1: PRELIMINARIES - Chair (10 mins) | | | |
|--|---------|--|--------------------------------|
| 1. | | Welcome and Introductions | <i>Oral</i> |
| 2. | | Apologies for Absence | <i>Oral</i> |
| 3. | | Declarations on Interest | <i>Oral</i> |
| 4. | | Minutes of the Audit Committee meeting held on 31 May 2018 and Special Audit Committee minutes held on 31 May 2018 | <i>Chair</i> |
| 5. | | Action log following meeting held on 31 May 2018 | <i>Chair</i> |
| 6. | | Any Other Urgent Business: To agree any additional items of urgent business that may need to be considered during the meeting. | <i>Oral</i> |
| SECTION 2: AUDIT AND COUNTERFRAUD | | | |
| 7. | 20 mins | Internal Audit Cover Report and Progress Report <i>*Please see part 2 agenda item 21 for full copies of audit reports</i> | <i>J Johns</i> |
| 8. | 10 mins | Consultant Job Planning: <ul style="list-style-type: none"> Review of Progress on Recommendations and Review of action plan with timescales | <i>Medical Director</i> |
| 9. | 10 mins | Continuing Healthcare Follow-up: <ul style="list-style-type: none"> To review action plan with timescales | <i>Chief Operating Officer</i> |
| 10. | 10 Mins | Wales Audit Office Update Report and <ul style="list-style-type: none"> Medical Equipment Update | <i>WAO</i> |
| 11. | 5 Mins | Wales Audit Office: Financial Statement Reports – Recommendations Addendum | <i>WAO</i> |
| 12. | 5 | To receive Tracking Report on Wales Audit Office | <i>N Foreman</i> |

| | Mins | Recommendations | | | | | | | | | | | | | |
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| 13. | 10 Mins | To receive the Structured Assessment Report 2017 - 6 month review of Progress on Recommendations | N Foreman | | | | | | | | | | | | |
| 14. | 10 Mins | To receive the Post Payment Verification Progress Report | S Lavendar | | | | | | | | | | | | |
| SECTION 3: POLICIES AND COMPLIANCE REPORTS | | | | | | | | | | | | | | | |
| 15. | | No items to report | | | | | | | | | | | | | |
| SECTION 4: CORPORATE GOVERNANCE | | | | | | | | | | | | | | | |
| 16. | 5 Mins | To receive the Regulatory and Review Bodies Tracking Report | N Foreman | | | | | | | | | | | | |
| 17. | 5 Mins | To receive the Annual Report of Hospitality Register and Register of Declarations of Interest | N Foreman | | | | | | | | | | | | |
| SECTION 5: CLINICAL GOVERNANCE | | | | | | | | | | | | | | | |
| 18. | | Patient Safety | C Evans | | | | | | | | | | | | |
| SECTION 6: ANNUAL FINANCIAL AND GOVERNANCE STATEMENTS | | | | | | | | | | | | | | | |
| 19. | | No items to report | | | | | | | | | | | | | |
| SECTION 7: ITEMS FOR DECISION / APPROVAL | | | | | | | | | | | | | | | |
| 20. | | No items to report | | | | | | | | | | | | | |
| PART 2 – ITEMS FOR INFORMATION | | | | | | | | | | | | | | | |
| 21. | | To receive a breakdown of Losses and Special Payments | C Evans | | | | | | | | | | | | |
| 22. | | Wales Audit Office report on the Collaborative Arrangements for managing Local Public Health Resources: Programme Closure Report | S Hopkins | | | | | | | | | | | | |
| 23. | | <div>Internal Audit reports for information</div> <table><thead><tr><th>Assignment</th><th>Assurance Rating</th></tr></thead><tbody><tr><td>1. Costing Review (17/18)</td><td>Reasonable</td></tr><tr><td>2. RTT Performance Reporting (17/18)</td><td>Reasonable</td></tr><tr><td>3. Annual Quality Statement</td><td>Substantial</td></tr><tr><td>4. Ombudsman Reports</td><td>Substantial</td></tr><tr><td>5. Environmental Sustainability Report</td><td>Reasonable</td></tr></tbody></table> | Assignment | Assurance Rating | 1. Costing Review (17/18) | Reasonable | 2. RTT Performance Reporting (17/18) | Reasonable | 3. Annual Quality Statement | Substantial | 4. Ombudsman Reports | Substantial | 5. Environmental Sustainability Report | Reasonable | J Johns |
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|---------------------------------|--|---|--|-------------------|
| | | 6. Electronic Staff Record 7. Management of the Disciplinary Process 8. Dental CB – Dental Nurse Provision 9. Dental CB – Theatre Sessions 10. Charitable Funds | Reasonable Reasonable Reasonable Reasonable Reasonable | |
| REVIEW AND FINAL CLOSURE | | | | |
| 24. | | Items to be deferred to Board / Committee | | <i>Oral Chair</i> |
| 25. | | To note the date, time and venue of the next Committee meeting: <ul style="list-style-type: none"> Tuesday, 4 December 2018 - 9.00am Corporate Meeting Room, Headquarters, University Hospital of Wales | | |

To consider a resolution that representatives of the press and other members of the public be excluded from the remainder of this meeting having regard to the confidential nature of the business to be transacted, publicity on which would be prejudicial to the public interest. [Section 1(2) Public Bodies (Admission to Meetings) Act 1960]