



Freedom of Information Act 2000 - Request Reference FoI/21/531

Patient Safety

Information Requested:

1. The number of never events, near miss, patient harm and serious incidents by hospital and month in your Local Health Board area in the financial years 2019-20 and 2020-21, along with monthly figures up to 24 November 2021;

Never Events

Time period	Hospital		
	University Hospital of Wales	Dental Hospital	University Hospital Llandough
April 2019	0	0	0
May 2019	1	0	0
June 2019	0	0	0
July 2019	0	0	0
August 2019	0	1	0
September 2019	0	0	0
October 2019	0	0	0
November 2019	0	0	0
December 2019	0	0	0
January 2020	0	0	0
February 2020	1*	0	0
March 2020	0	0	0
April 2020	0	0	0
May 2020	0	1	0
June 2020	0	0	0
July 2020	1	0	0
August 2020	0	0	0
September 2020	0	0	0
October 2020	0	1	1
November 2020	1	0	1
December 2020	0	0	0
January 2021	0	0	0
February 2021	0	0	0
March 2021	0	0	0
April 2021	0	0	0
May 2021	0	0	0
June 2021	1*	0	0
July 2021	0	0	0
August 2021	0	0	0
September 2021	0	0	0
October 2021	0	0	0
November 1 st – 24 th 2021	0	0	0

*Denotes wrong site nerve block



Near misses

The incident reporting system does not identify incidents as 'near misses', therefore it is not possible to extract this data.

Patient harm incidents

'Patient harm' has been interpreted as those patient incidents where the level of harm recorded is low, moderate, major or catastrophic.

	The Barry Hospital	Dental Hospital	UHL - University Hospital Llandough	Noah's Ark Children's Hospital for Wales	Rookwood Hospital	St David's Hospital	UHW inc Lakeside
Apr 2019	8	5	133	17	8	13	312
May 2019	9	4	128	10	8	16	339
Jun 2019	11	2	142	11	12	16	332
Jul 2019	4	2	144	20	15	15	365
Aug 2019	7	2	135	11	6	15	326
Sep 2019	10	6	127	21	9	18	341
Oct 2019	5	5	164	27	3	26	365
Nov 2019	8	2	139	17	12	14	374
Dec 2019	5	4	126	24	6	17	330
Jan 2020	17	5	157	17	6	20	427
Feb 2020	10	5	147	22	5	27	389
Mar 2020	4	4	135	9	4	23	282
2019-2020	98	46	1677	206	94	220	4182
Apr 2020	9	0	109	5	4	16	229
May 2020	12	0	118	10	3	12	305
Jun 2020	18	0	114	25	5	22	289



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Jul 2020	6	1	122	21	6	19	344
Aug 2020	9	1	144	30	4	13	322
Sep 2020	6	2	153	18	4	22	335
Oct 2020	7	4	201	19	3	18	383
Nov 2020	8	1	147	19	4	19	389
Dec 2020	13	0	167	26	4	24	373
Jan 2021	7	1	170	13	6	25	433
Feb 2021	8	0	137	20	2	22	427
Mar 2021	5	5	159	30	4	17	398
2020-2021	108	15	1741	236	49	229	4227
Apr 2021	9	3	159	35	3	23	402
May 2021	7	6	173	29	3	24	410
Jun 2021	12	1	153	16	3	21	359
Jul 2021	11	1	160	32	1	22	427
Aug 2021	13	0	140	17	0	22	401
Sep 2021	6	0	172	15	0	24	415
Oct 2021	10	0	160	15	0	19	426
Nov 2021	6	5	164	25	2	29	484



Serious incidents

Please note that the guidance on Serious Incident reporting was modified several times by Welsh Government during the period covered by the FOI request due to the COVID-19 pandemic. Therefore, caution must be taken when interpreting any changes in Serious Incident numbers over time. The Serious Incident reporting process ceased in June 2021.

	The Barry Hospital	Dental Hospital	UHL - University Hospital Llandough	Noah's Ark Children's Hospital for Wales	Rookwood Hospital	St David's Hospital	UHW - University Hospital of Wales	Total
Apr 2019	0	0	5	0	1	1	8	15
May 2019	0	0	2	0	0	0	6	8
Jun 2019	0	0	5	0	0	1	4	10
Jul 2019	0	0	4	0	0	0	7	11
Aug 2019	1	1	4	0	0	0	5	11
Sep 2019	0	0	5	0	0	0	7	12
Oct 2019	0	0	4	0	0	1	6	11
Nov 2019	0	0	3	0	0	1	7	11
Dec 2019	0	0	5	0	0	0	9	14
Jan 2020	1	0	3	0	0	1	10	15
Feb 2020	0	0	6	0	0	0	6	12
Mar 2020	0	0	2	0	0	1	3	6
2019-2020	2	1	48	0	1	6	78	136
Apr 2020	0	0	0	1	0	0	0	1
May 2020	0	1	0	0	0	0	4	5
Jun 2020	0	0	2	0	0	0	2	4
Jul 2020	0	0	2	1	0	0	1	4



Aug 2020	0	0	2	0	0	0	3	5
Sep 2020	0	0	4	0	0	3	4	11
Oct 2020	0	1	3	0	0	1	5	10
Nov 2020	0	0	5	0	0	0	6	11
Dec 2020	0	0	2	0	0	1	3	6
Jan 2021	0	0	0	1	0	0	1	2
Feb 2021	0	0	0	0	0	0	0	0
Mar 2021	0	0	3	0	0	0	0	3
2020-2021	0	2	23	3	0	5	29	62
Apr 2021	0	0	0	0	0	0	1	1
May 2021	1	0	1	0	0	0	1	3
Jun 2021	0	0	4	0	0	0	4	8
Total	3	3	76	3	1	11	113	210

2. The number of inadvertent wrong-sided nerve blocks during regional anaesthesia by hospital and month in your Local Health Board area in the financial years 2019-20 and 2020-21 along with monthly figures up to 24 November 2021;

- Included in table for item 1 – Never Events.

3. A breakdown by category of these “never events, near miss, patient harm and serious incidents” in each year by listing the root causes;

In completing a search for the information requested, Cardiff and Vale University Health Board (the UHB) has confirmed that this information is not recorded centrally on the incident reporting system and would require manual examination of each of the records (more than 10,000 records would be included in the definition above). The UHB considers that this would exceed the limit set within regulations for responding to a request. The UHB has therefore relied upon the Section 12 exemption (‘Exemption where cost of compliance exceeds appropriate limit’) of the Freedom of Information Act 2000 and is refusing your request.



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The UHB has estimated that to complete the work needed to respond to this request would exceed the time limit as set within regulations to respond to a Freedom of Information Act request. Under the Act there is an allowance of two and a half days, or 18 hours, to comply with a request and the cost limit set within the fees' regulations for this amount of work (18 hours) is £450 for the UHB. The fees regulations specify that the cost of complying with a request must be calculated at the rate of £25 per hour.

4. The escalation process should never events, near miss, patient harm and serious incidents be incorrectly classified.

The operation of the incident reporting system is covered in the procedure attached.

- Incident Reporting Procedure Sept 2018