

**Freedom of Information Act 2000 - Request Reference Fol/21/330**  
**Upholding Professional Standards in Wales (UPSW) Amended**

**Request Details**

- 1. The length of time it has taken the Health Board to carry out each initial assessment under Upholding Professional Standards in Wales (UPSW) up until the practitioner was advised of the outcome to instigate a formal UPSW investigation in that case.**

Days from Initial Assessment to Formal UPSW Investigation:

Case	Number of Days
1	0
2	0
3	0
4	50
5	57
6	70
7	128

- 2. The length of time of it has taken the Health Board to carry out each formal UPSW investigation from when the practitioner was informed that a formal investigation was to commence up until the practitioner was advised of the outcome of that investigation.**

Length of time undertaken for an investigation:

Case	Number of Days
1	129 - Ongoing
2	207
3	172

The above data is from 2015 onwards, when the UPSW policy was introduced.

- 3. I would also like the following information broken down by ethnicity for the following:**

After considering your request, Cardiff and Vale University Health Board (the UHB) believes that providing the data requested in linked format would represent personal data as defined under the General Data Protection Regulation (GDPR) and Data Protection Act 2018 and its disclosure would be contrary to the data protection principles and constitute unfair and unlawful processing in regard to Articles 5, 6, and 9 of GDPR. We are therefore withholding this detail under Section 40(2) of the Freedom of Information Act 2000. This exemption is absolute and therefore there is no requirement to apply the public interest test. However the UHB can provide the below anonymized data,

## **Ethnicity of individuals undergoing investigation:**

- A White – British – 1
- C3 White Unspecified - 4
- H Asian or Asian British – Indian – 4
- LK Asian Unspecified – 1
- R Chinese – 1
- Not Disclosed - 8

### **1. Doctors who have been placed on restricted duties and/or excluded**

10

### **2. Doctors who were subject to an initial assessment.**

All were subject to an initial assessment.

### **3. Doctors subject to an informal resolution.**

2

### **4. Doctors subject to a formal investigation**

9

### **5. Doctors who have been referred to the GMC**

Whenever there is an investigation the GMC are informed.

### **6. Doctors who have been referred to NHS Resolutions**

3

### **7. Doctors who have been through the Standard Procedure.**

1

### **8. Doctors who have been through the Extended Procedure.**

1

### **9. The level of sanctions imposed against those doctors that have been through either the Standard or Extended Procedure**

Please refer to the above exemption as this relates to a single individual.